

# LOG MINIMAL MODELS FOR ARITHMETIC THREEFOLD GERMS

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ABSTRACT. In this note, I attempt to finish the log minimal model program proofs started in [EGSurfDIOP] and [EgSyz]. In the first note, finite generation of the log canonical ring is proven for Kawamata Log Terminal, log smooth pairs of general type on an algebraic space having two dimensional fibers over a DVR. In the [EgSyz], the minimal model program for such pairs is studied. In this note, I study pairs of any Kodaira dimension.

## CONTENTS

1. Intersection Theory on $X/R$	2
2. Log Resolution	3
3. Effective KLT Kawamata Viehweg Vanishing	5
4. (Effective) Basepoint Freeness	9
5. Invariance of Plurigenera Special Case	12
6. Invariance of Plurigenera General Case	17
7. Finite Generation (Big Case)	18
8. Finiteness of Models For Surfaces	22
9. Cone, Rationality, and contraction / $R$	24
10. Big Abundance / $R$	26
11. Contractions given by Extremal Rays / $R$	27
12. Big Termination With Scaling	28

13. Reductions	30
14. Existence of Minimal Models Special Case	32
15. Existence of Minimal Models General Case	34
References	35

## 1. INTERSECTION THEORY ON $X/R$

Suppose  $X/R$  is a proper algebraic space of relative dimension 2 over a discrete valuation ring  $R$  with residue field  $k$  of characteristic  $p > 0$  and perfect fraction field  $K$  (See for example the compact Shimura Varieties mentioned in [Suh, 0.1]).

**Lemma 1.** [KU, 9.3] *Let  $\varphi : X \rightarrow \text{Spec}(R)$  be an algebraic two-dimensional space, smooth, proper, separated, and of finite type over  $\text{spec}(R)$ , where  $R$  is a DVR with algebraically closed residue field  $k$ , and field of fractions  $K$ . Let  $X_K$  (resp.  $X_k$ ) denote the generic geometric (resp. closed) fibre of  $\varphi$ . If  $D, D'$  are divisors on  $X$ , then*

$$\begin{aligned} K_{X_k}^2 &= K_{X_K}^2 \\ (K_{X_k} \cdot D_k)_{X_k} &= (K_{X_K} \cdot D_K)_{X_K} \\ (D_k \cdot D'_k)_{X_k} &= (D_K \cdot D'_K)_{X_K}. \end{aligned}$$

If  $X/R$  is smooth, then Lemma 1 applies to show that for any divisors  $C, D$  extending to both fibers, we can define  $C \cdot D = (C|_{X_k} \cdot D|_{X_k})_{X_k}$ . On the other hand, if  $X/R$  is merely normal and proper, but is actually a scheme, the resolution of singularities, Theorem 3, holds. Thus the intersection theory can be defined as in [Tan, Def 3.1]:  $f : X' \rightarrow X$  is a resolution, and  $C \cdot D = f^*C \cdot f^*D$  for two divisors  $C, D$  on  $Y/R$ . By

properness, this intersection extends by linearity to Weil divisors with  $\mathbb{Q}$  or  $\mathbb{R}$  coefficients. Numerical equivalence and  $N^1(X)_{\mathbb{Q},\mathbb{R}}$  are then defined as usual.

Note the two different hypothesis here: The invariance of plurigenera theorem requires a proper, smooth algebraic space of relative dimension 2, while the existence of minimal models requires the intermediate steps of the minimal model program to have some intersection theory, and thus be schemes. Recall that a Cartier divisor  $C$  on a variety is usually called nef if  $C.D \geq 0$  for all curves  $C$ .

**Lemma 2.** *Let  $S$  be an affine Dedekind scheme and  $f : X \rightarrow S$  a projective morphism. Let  $\mathcal{L}$  be an invertible sheaf on  $X$  such that  $\mathcal{L}_s$  is nef for every closed point  $s \in S$ . Then  $\mathcal{L}$  is nef.*

*Proof.* By [Liu, 5.3.24], the statement holds when nef is replaced by ample. Now, c.f. [Laz, 1.4.10], it suffices to choose an ample divisor  $H$  which restricts to  $X_s$ , so that  $D_s + \epsilon H_s$  is ample for all sufficiently small  $\epsilon$ . Then  $D + \epsilon H$  is ample for all sufficiently small  $\epsilon$ , and so  $D$  is nef.  $\square$

## 2. LOG RESOLUTION

The following statement of resolution will be used. So for example, if  $X$  is an algebraic variety over a number field  $K$  then, by [MP, III.2], there is a completed arithmetical variety  $\overline{X}$  of dimension  $\dim(X) + 1$  :

$$\overline{X} \rightarrow \overline{\text{Spec } \mathcal{O}_K}.$$

(Note that a complete DVR is excellent).

**Theorem 3.** [CP2014, 1.1] *Let  $X$  be a reduced and separated Noetherian scheme which is quasi-excellent and of dimension at most three. There exists a proper birational morphism  $\pi : X' \rightarrow X$  with the following properties:*

- (i)  $X'$  is everywhere regular
- (ii)  $\pi$  induces an isomorphism  $\pi^{-1}(\text{Reg } X) \approx \text{Reg } X$
- (iii)  $\pi^{-1}(\text{Sing } X)$  is a strict normal crossings divisor on  $X'$ .

The following Statement of Log Resolution will be used in the Log Smooth case (i.e. the case with  $(X, \Delta)$  a pair with  $X$  smooth and  $\Delta$  simple normal crossings.)

**Theorem 4.** [CP2014, 4.3] *Let  $S$  be a regular Noetherian irreducible scheme of dimension three which is excellent and  $\mathcal{I} \subset \mathcal{O}_S$  be a nonzero ideal sheaf. There exists a finite sequence*

$$S =: S(0) \leftarrow S(1) \leftarrow \cdots \leftarrow S(r)$$

*with the following properties:*

- (i) for each  $j$ ,  $0 \leq j \leq r - 1$ ,  $S(j + 1)$  is the blowing up along a regular integral subscheme  $\mathcal{Y}(j) \subset \mathcal{S}(j)$  with

$$\mathcal{Y}(j) \subseteq \{s_j \in \mathcal{S}(j) : \mathcal{I}\mathcal{O}_{S(j), s_j} \text{ is not locally principal}\}.$$

- (ii)  $\mathcal{I}\mathcal{O}_{S(r)}$  is locally principal.

## 3. EFFECTIVE KLT KAWAMATA VIEHWEG VANISHING

In this section, I note that a modification (originally I thought it was a simple modification giving an easy constant, however after looking at it more carefully, I realize it is actually a bit more subtle) of Tanaka's vanishing theorem [Tan2] gives a version of the (log) Kawamata Viehweg Vanishing in which the multiple of the nef divisor is at least computable. There is a similar recent result in [DF], which is more restrictive (although with a much better constant) in that it requires a smooth variety rather than a normal projective klt pair (the situation of a pair is considered in this paper). It would be really nice to have an easily computable bound in the KLT case, rather than the messy one achieved here. First, a theorem of Terakawa:

**Theorem 5.** [Ter] *Let  $X$  be a smooth projective surface over an algebraically closed field of characteristic  $p > 0$  and let  $D$  be a big and nef Cartier divisor on  $X$ . Assume that either*

(1)  $\kappa(X) \neq 2$  and  $X$  is not quasi-elliptic with  $\kappa(X) = 1$ ; or

(2)  $X$  is of general type with

$p \geq 3$  and  $(D^2) > \text{vol}(X)$  or

$p = 2$  and  $(D^2) > \max\{\text{vol}(X), \text{vol}(X) - 3\chi(\mathcal{O}_X) + 2\}$ .

Then

$$H^i(X, \mathcal{O}_X(K_X + D)) = 0$$

for all  $i > 0$ .

Recall the following covering Lemma:

**Lemma 6.** *Let  $X$  be an  $n$ -dimensional smooth variety. Let  $D$  be a  $\mathbb{Q}$ -divisor such that the support of the fractional part  $\{D\}$  is simple normal crossing. Moreover, suppose that, for the prime decomposition  $\{D\} = \sum_{i \in I} \frac{b^{(i)}}{a^{(i)}} D^{(i)}$ , no integers  $a^{(i)}$  are divisible by  $p$ . Then there exists a finite surjective morphism  $\gamma : Y \rightarrow X$  from a smooth variety  $Y$  with the following properties:*

- (1) *The field extension  $K(Y)/K(X)$  is a Galois extension.*
- (2)  *$\gamma^*D$  is a  $\mathbb{Z}$ -divisor (it seems the degree of the extension is an  $m$  clearing the denominators of the  $D^{(i)}$ .)*
- (3)  *$\mathcal{O}_X(K_X + \lceil D \rceil) \approx (\gamma_* \mathcal{O}_Y(K_Y + \gamma^*D))^G$ , where  $G$  is the Galois group of  $K(Y)/K(X)$ .*
- (4) *If  $D'$  is a  $\mathbb{Q}$ -divisor such that  $\{D'\} = \{D\}$ , then  $\gamma^*D'$  is a  $\mathbb{Z}$ -divisor, and  $\mathcal{O}_X(K_X + \lceil D' \rceil) \approx (\gamma_* \mathcal{O}_Y(K_Y + \gamma^*D'))^G$ .*

*Remark 7.* Several aspects of the proof of the above Lemma will be used in the following.

Applying the above to Tanaka's proof seems to give the following:

**Theorem 8.** ([\[Tan2, 2.6\]](#) *Weak Effective Kawamata-Viehweg Vanishing Theorem*) *Let  $X$  be a smooth projective surface over an algebraically closed field of characteristic  $p > 2$ . Let  $N$  be a nef and big  $\mathbb{R}$ -cartier and  $B$  a nef and big  $\mathbb{Q}$ -divisor whose fractional part is simple normal crossing, whose fractional part has no denominators divisible by the characteristic. Then there exists an  $r$ , computable in terms of the intersection numbers of the components of  $B, N$ , and  $K_X$ , such that*

$$H^i(X, \mathcal{O}_X(K_X + \lceil B \rceil + rN + N')) = 0$$

for every  $i > 0$ , and every nef  $\mathbb{R}$ -Cartier  $\mathbb{R}$ -divisor  $N'$  such that  $rN + N'$  is a  $\mathbb{Z}$ -divisor.

*Proof.* (A slightly different proof than the cited Theorem). The fractional part of  $B + rN + N'$  is equal to the fraction part of  $B$  when  $rN + N'$  is a  $\mathbb{Z}$ -divisor. Thus we apply Lemma 6 to obtain a degree  $m$  cover  $\gamma : Y \rightarrow X$  (independent of  $r$  and  $N'$ ) where  $Y$  is a smooth surface. Then

$$\begin{aligned} & H^1(K_X + \lceil B \rceil + rN + N') \\ &= H^1(K_X + \lceil B + rN + N' \rceil) \\ &= H^1(\gamma_*(K_Y + \gamma^*(B + rN + N')))^G \\ &= H^1(Y, K_Y + \gamma^*B + r\gamma^*N + \gamma^*N')^G. \end{aligned}$$

the last term vanishing by Terakawa's Theorem and some  $r \gg 0$ , as by the proof of [KMM, 1-1-1], the cover can be made general type.

Now I claim it is possible to compute an  $r$  such that the last term above is zero using the intersections and degrees of components of  $B = \sum a_i \Gamma_i$ . It will suffice to show that  $Y$  is general type and that  $(B + rN)^2 > K_Y^2$ , so that Theorem 5 can be applied.

As  $B$  is big and nef, there exists an ample divisor  $A$  and an effective divisor  $D$  such that  $B \equiv A - \frac{1}{j}D$  for all  $j \gg 0$ . Following the proof of [KMM, 1-1-1], let  $M = kA$  be very ample (we can compute  $k$  by [DF]) and such that  $m$  clears the denominators of the components of  $B$  and such that  $mM - \Gamma_i$  is very ample for all  $i$  (again we can compute such an  $m$  by [DF]: in the case that  $\Gamma_i^2 < 0$ , then ensure  $k$  is large enough so that  $kA \cdot \Gamma_i > -\Gamma_i^2$ , take  $\Gamma'_i = \Gamma_i + (k-1)K_X$  and by [DF, Theorem

1.2] find (computable)  $m'$  such that  $m'M - \Gamma'_i = m''M - \Gamma_i$  is very ample. Replace  $m$  by  $m''$ , and letting  $H_i \in |mM - \Gamma_i|$  for all  $i$  (thus  $\frac{1}{m}(\Gamma_i + H_i) \sim M$  is very ample), we then have (again c.f. the proof of [KMM, 1-1-1])

$$\begin{aligned}
 & K_Y \\
 &= \tau^* K_X + (m-1) \left( \sum (\tau^* \Gamma_i)_{red} + \sum \left( \tau^* H_k^{(i)} \right)_{red} \right) \\
 &= \tau^* K_X + \tau^* \left( \sum_i \left( \Gamma_i + H_i - \frac{1}{m} (\Gamma_i + H_i) \right) \right) \\
 &= \tau^* K_X + \tau^* ((m-1)M)
 \end{aligned}$$

so that  $Y$  is general type, and  $vol(Y) = [((m+1)k+1)A]^2$ , so it suffices to pick  $r$  large enough that  $(B+rN)^2 > [((m+1)k+1)A]^2$  or, taking  $j \gg 0$ , such that  $(B+rN) > [((m+1)k+1)B]^2$ .  $\square$

Now I restate Tanaka's vanishing theorem, with a note on the computability in certain circumstances.

**Theorem 9.** (*Effective KLT Kawamata-Viehweg Vanishing for normal surfaces c.f. [Tan2, 2.11]*) *Let  $(X, \Delta)$  be a normal projective klt surface over an algebraically closed field of characteristic  $p > 2$ , where  $K_X + \Delta$  is an effective  $\mathbb{R}$ -divisor. Let  $N$  be a nef and big  $\mathbb{R}$ -cartier  $\mathbb{R}$ -divisor. Let  $D$  be a  $\mathbb{Q}$ -Cartier  $\mathbb{Z}$ -divisor such that  $D - (K_X - \Delta)$  is nef and big.*

Then there exists an  $r_0$ <sup>1</sup> such that

$$H^i(X, \mathcal{O}_X(D + rN + N')) = 0$$

for every  $i > 0$ , every positive real number  $r \geq r_0$ , and every nef  $\mathbb{R}$ -Cartier  $\mathbb{R}$ -divisor  $N'$  such that  $rN + N'$  is a Cartier divisor.

*Proof.* The computability follows easily from [Tan2, 2.11] except that Weak Kawamata Viehweg Vanishing in the proof is replaced by Theorem 8. Since, in this note I can apply this theorem when  $(X, \Delta)$  is log smooth (hence  $X$  is smooth) and  $\lfloor \Delta \rfloor = 0$ , here is the proof in that simple case:

$$\begin{aligned} & H^1(D + rN + N') \\ &= H^1(K_X + D - K_X - \lfloor \Delta \rfloor + rN + N') \\ &= H^1(K_X + D - K_X + \lceil -\Delta \rceil + rN + N') \\ &= H^1(K_X + \lceil D - K_X - \Delta \rceil + rN + N') \end{aligned}$$

since we can move the integral divisor  $D - K_X$  into the round up. This last term vanishes by Theorem 8. The vanishing of  $H^2$  follows easily using Serre Duality.  $\square$

#### 4. (EFFECTIVE) BASEPOINT FREENESS

This section is not necessary for the rest of the paper (and has been checked much less, although the proof is essentially the same as in [EL], but substituting Theorem 8). The point is now that finite

<sup>1</sup>By Theorem 8  $r$  is computable in terms of the number of components  $\Delta$  and in terms of the self intersections of these components, and their multiplicities. Otherwise Tanaka's original statement gives just the existence of an  $r$ .

generation is achieved, can we get the specific degrees. It's possible this will be split off into another note in the future.

In [Fuj], Fujino proves the following Theorem:

**Theorem 10.** *Let  $(X, \Delta)$  be a complete irreducible log surface and let  $D$  be a Cartier divisor on  $X$ . Put  $A = D - (K_X + \Delta)$ . Assume that  $A$  is nef,  $A^2 > 4$ , and  $A.C \geq 2$  for every curve  $C$  on  $X$  such that  $x \in C$ . Then  $\mathcal{O}_X(D)$  has a global section not vanishing at  $x$ .*

and conjectures that the same holds in characteristic  $p > 0$ .

In this section, I follow [EL] to prove a very weak version of the above conjecture:

**Theorem 11.** *Let  $(X, \Delta)$  be a smooth projective klt surface over an algebraically closed field of characteristic  $p > 2$ , where  $\Delta$  is an effective  $\mathbb{R}$ -divisor. Let  $D$  be a Cartier divisor on  $X$ . Put  $B = D - (K_X + \Delta)$ . Assume that  $A$  is nef. Then there exists computable  $m_0$  and  $m_1$  such that if  $A^2 > m_0$ , and  $A.C \geq m_1$  for every curve  $C$  on  $X$  with  $x \in C$ . Then  $\mathcal{O}_X(D)$  has a global section not vanishing at  $x$ .*

*Proof.* (Very Similar to [EL, 1.4]) By Riemann-Roch, and Theorem 9

$$h^0(n(B)) \approx n^2 B^2 / 2 \geq \frac{5n^2}{2}$$

so for  $n \gg 0$ , there exists for  $n \gg 0$   $D \in |nB|$  with  $q = \text{mult}_x(D) \geq 2n + 1$  at  $x$ . Write  $D = \sum d_i D_i + F$  with  $D_i$  the prime components of  $D$  meeting  $x$ .

If  $q > 2d_i$  for all  $i$ , let  $f : Y \rightarrow S$  the blowing-up of  $x$ , let  $E \subset Y$  the exceptional divisor, and let  $D'_i \subset Y$  the proper transform of  $D_i$ . Using

standard formula for blow-ups on surfaces

$$f^*D = \sum d_i D'_i + qE + f^*F.$$

Let

$$\begin{aligned} M &= f^*B - \frac{2}{q}f^*D \\ &= f^*B - \frac{2}{q} \left[ \sum d_i D'_i + qE + f^*F \right] \\ &= f^*B - 2E - \sum \frac{2d_i}{q} D'_i - \frac{2}{q}f^*F \end{aligned}$$

on  $Y$ . As  $\frac{2d_i}{q} < 1$ , the  $D'_i$  term rounds up to zero, thus

$$\lceil M \rceil = f^*B - 2E - \underbrace{\lceil \frac{2}{q}f^*F \rceil}_N$$

where  $N$  is effective and doesn't meet  $E$ . Thus

$$\begin{aligned} &K_Y + \lceil M \rceil \\ &= \underbrace{f^*(K_S) + E}_{K_Y} + f^*B - 2E - N \\ &= f^*(K_S + B) - E - N. \end{aligned}$$

As  $M = f^*B - \frac{2}{q}f^*D \equiv \left(1 - \frac{2n}{q}\right) f^*B$  and  $q > 2n$ , so  $1 - \frac{2n}{q} > 0$ , then  $M$  is nef and big. Now to apply Theorem 8, find some computable  $m_0, m_1$  such that the self intersection of  $M$  is large enough. Then

$$H^1(\mathcal{O}_S(K_S + B - f_*N) \otimes \mathfrak{m}_x) = H^1(K_Y + \lceil M \rceil) = 0.$$

On the other hand, if  $q < 2d_i$  for some  $i$ , but  $q = \sum d_i \cdot \text{mult}_x(D_i)$  then  $q \geq d_i$  for all  $i$ . As in the cited proof, let  $D_0$  the unique component with maximal multiplicity  $d_0 > \frac{q}{2}$ , which must be smooth at  $x$ . Let  $M = B - D/d_0$ . Then  $M \equiv (1 - n/d_0)B$  is nef and big as above and

$$K_S + \lceil M \rceil = K_S + B - D_0 - N$$

where again  $N$  is supported away from  $x$ . Again applying Theorem 8 (enforcing  $B$  to have large enough computable intersection numbers),

$$H^0(K_S + B - N) \rightarrow H^0((K_S + B - N)|_{D_0})$$

is surjective. The rest follows as in the cited Theorem. (possibly filled out next update)  $\square$

## 5. INVARIANCE OF PLURIGENERA SPECIAL CASE

**Lemma 12.** [KU, 9.4] *Let  $\varphi : X \rightarrow \text{Spec}(R)$  be an algebraic two-dimensional space, proper, separated, and of finite type over  $\text{spec}(R)$ , where  $R$  is a DVR with algebraically closed residue field  $k$ , and field of fractions  $K$ . Let  $X_K$  (resp.  $X_k$ ) denote the generic geometric (resp. closed) fibre of  $\varphi$ . If  $X_k$  contains an exceptional curve of the first kind  $e$ , there exists a DVR  $\tilde{R} \supset R$ , with residue field isomorphic to  $k$ , and a proper smooth morphism  $\tilde{\varphi} : \tilde{X} \rightarrow \text{Spec}(\tilde{R})$  of algebraic spaces which is separated and of finite type and a proper surjective morphism  $\pi : X \otimes \tilde{R} \rightarrow \tilde{Y}$  over  $\text{Spec}(\tilde{R})$  such that on the closed fibre,  $\pi$  induces the contraction of the exceptional curve  $e$ . Moreover, on the generic*

fibres,  $\pi$  also induces a contraction of an exceptional curve of the first kind.

*Proof.* (Follows easily from the cited theorem, although I make a small observation about the extension of residue fields). By [A1, Cor 6.2]  $\text{Hilb}_{X/\text{Spec}(R)}$  is represented by an algebraic space  $\mathcal{H}$  which is locally of finite type over  $\text{Spec}(R)$ . Let  $Y$  be the irreducible component containing the point  $\{e\}$  corresponding to the exceptional curve  $e$  on the special fiber. Then  $e \approx \mathbb{P}_k^1$  and  $N_{e/X} \approx \mathcal{O}_{\mathbb{P}^1}(-1) \oplus \mathcal{O}_{\mathbb{P}^1}$ , so  $Y$  is regular at  $\{e\}$  and of dimension 1. Since  $e$  is fixed in the special fiber, the structure morphism  $p : Y \rightarrow \text{Spec}(R)$  is surjective. By [Bo, 2.2.14]<sup>2</sup>, we can find an étale cover  $\tilde{R} \supset R$  and a morphism  $j : \text{Spec}(\tilde{R}) \rightarrow Y$  over  $\text{Spec}(R)$  with  $j(\tilde{e}) = \{e\}$  (if  $R$  is not already complete, then first extend  $R$  to a complete DVR using [Gr, 0.6.8.2,3] so that  $\tilde{R}$  is again a DVR). As  $k$  is assumed algebraically closed, and  $\tilde{R} \rightarrow R$  is unramified, then the extension of residue fields is finite and separable at the closed point of  $R$ , and hence an isomorphism of residue fields. Let  $\hat{p} : \mathcal{E} \rightarrow \text{Spec}(\tilde{R})$  be the pull-back of the universal family over  $Y$ . As the closed fibre  $\mathcal{E}_0$  is a projective line, we may choose the morphism  $j$  in such a way that the generic fibre  $\mathcal{E}_1$  of  $\hat{p}$  is also a projective line. Moreover,  $\mathcal{E}$  can be considered as a smooth closed algebraic subspace of codimension 1 in  $\hat{X} = X \otimes \tilde{R}$ . By Lemma 1,  $-1 = \mathcal{E}_0^2 = \mathcal{E}_1^2$ . Hence  $\mathcal{E}_1$  is also an exceptional curve of the first kind. Hence by [A2, Cor 6.11] there exists a contraction morphism  $\pi : \hat{X} \rightarrow \hat{X}$  over  $\text{Spec}(\tilde{R})$

<sup>2</sup>If  $k$  is perfect, the proof of the cited lemma seems to go through when  $f : X \rightarrow S$  is merely smooth at  $x \in X$  and  $f : X \rightarrow S$  is locally of finite type.

which contracts  $\mathcal{E}$  to a section of  $\tilde{\varphi} : \tilde{X} \rightarrow \text{Spec}(R)$  where  $\tilde{\varphi}$  is proper, smooth, separated and of finite type over  $\text{Spec}(\tilde{R})$ .

□

**Lemma 13.** [KK94, 2.3.5] *Let  $(S, B)$  be a log-canonical surface over an algebraically closed field of characteristic  $p > 0$ . If  $C \subset S$  is a curve with  $C^2 < 0$  and  $C \cdot (K_S + B) < 0$ , then  $C \approx \mathbb{P}^1$  and it can be contracted to a log-canonical point.*

**Theorem 14.** [Tan, 4.4, 4.6] *Let  $X$  be a projective normal surface and let  $\Delta$  be an effective  $\mathbb{R}$ -divisor such that  $K_X + \Delta$  is  $\mathbb{R}$ -cartier. Let  $\Delta = \sum b_i B_i$  be the prime decomposition. Let  $H$  be an  $\mathbb{R}$ -cartier ample  $\mathbb{R}$ -divisor. Then the following assertions hold:*

- (1)  $\overline{NE}(X) = \overline{NE}(X)_{K_X + \Delta \geq 0} + \sum \mathbb{R}_{\geq 0} [C_i]$
- (2)  $\overline{NE}(X) = \overline{NE}(X)_{K_X + \Delta + H \geq 0} + \sum_{finite} \mathbb{R}_{\geq 0} [C_i]$
- (3) Each  $C_i$  in (1) and (2) is rational or  $C_i = B_j$  for some  $B_j$  with  $B_j^2 < 0$ .
- (4) Each  $C_i$  in (1) and (2) satisfies  $0 < -C_i \cdot (K_X + \Delta) \leq 3$ .

**Lemma 15.** [Tan, 5.3] *Let  $X$  be a projective normal surface and let  $C$  be a curve in  $X$  such that  $r(K_X + C)$  is Cartier for some positive integer  $r$ .*

- (1) If  $C \cdot (K_X + C) < 0$ , then  $C \approx \mathbb{P}^1$ .
- (2) If  $C \cdot (K_X + C) = 0$ , then  $C \approx \mathbb{P}^1$  or  $\mathcal{O}_C \left( (K_X + C)^{[r]} \right) \approx \mathcal{O}_C$ .

**Proposition 16.** *Let  $(X, \Delta)$  be a terminal log smooth pair of relative dimension 2 over a DVR  $R$  with algebraically closed residue field  $k$  of characteristic  $p > 2$  and perfect fraction field  $K$ . Assume that  $K_X + \Delta$  is  $\mathbb{Q}$ -Cartier and  $\kappa(K_{X_k} + \Delta_k) = 2$ . Assume that  $\mathbb{B}_-(K_{X_k} + \Delta_k) \wedge \Delta_k = \emptyset$ . Then there exists an  $m_0$  such that for  $m > m_0$ ,*

$$h^0(m(K_{X_k} + \Delta_k)) = h^0(m(K_{X_K} + \Delta_K)).$$

The proof of proposition 16 is given in the following claims.

*Claim.* Assumptions as above, after passing to an extension  $R'$  of  $R$ , there is a proper, smooth algebraic space  $X^{min}/R'$  and an  $R'$  morphism  $X \otimes R' \rightarrow X^{min}$  such that both  $X_k \rightarrow X_k^{min}$  is obtained by successive blow-downs of  $(-1)$  curves and  $K_{X_k^{min}} + \Delta_{X_k^{min}}$  is nef.

*Proof.* As  $k$  is algebraically closed of characteristic  $p > 0$ , then by the Cone Theorem 14,

$$\overline{NE}(X_k) = \overline{NE}(X_k)_{K_{X_k} + \Delta_k \geq 0} + \sum \mathbb{R}_{\geq 0}[C_i]$$

with each  $C_i$  is rational or  $C_i = B_j$  for some  $B_j$  a component of  $\Delta$  with  $B_j^2 < 0$ . Under the assumption that  $\mathbb{B}_-(K_{X_k} + \Delta_k) \wedge \Delta_k = \emptyset$ , then actually each  $C_i$  is rational and is not a component of  $\Delta_k$ . Thus  $C_i \cdot \Delta_k \geq 0$  so  $C_i \cdot K_{X_k} < 0$ , and if  $C_i^2 > 0$ , then by [KK94, 2.3.3], then  $-(K_{X_k} + \Delta_k)$  is ample, which contradicts the assumption that  $\kappa(K_{X_k} + \Delta_k) = 2$ . Thus Theorem 13 implies  $C_i \approx \mathbb{P}^1$  and  $C_i$  can be contracted to a log-canonical point, which is actually a smooth point

under the terminal assumption. Thus  $C_i$  is an exceptional curve of the first kind, so it is possible to apply Lemma 12.

By Lemma 12, there is a DVR  $\tilde{R} \supset R$  such that  $\pi : X \otimes \tilde{R} \rightarrow \tilde{X}$  induces the contraction of  $C_i$  on  $X_k$  and  $X_K$ , and further  $\tilde{\varphi} : \tilde{X} \rightarrow \text{Spec}(\tilde{R})$  is proper, smooth, separated, and finite type. Note that after a base change, the extension  $\tilde{R} \supset R$  induces a finite extension on residue fields, and since  $k$  is algebraically closed, it induces identity on residue fields. Now I need to work with  $\tilde{X}$ .  $\tilde{X}_k$  is projective, so the same process can be repeated. Each extension  $\tilde{R} \supset R$  induces a flat base change on the generic fiber and an isomorphism on the special fiber, and blow-ups are preserved by flat base-change, so there is no problem in extending  $R$ . As the Picard number of the special fiber drops each step, there are only finitely many steps.  $\square$

*Claim 17.* We also have  $K_{X_k^{min}} + \Delta_{X_k^{min}}$  nef.

*Proof.* It suffices to apply Lemma 2 to  $X_k^{min}$ , and then restrict to  $X_K$ .  $\square$

*Claim 18.* There is an  $m_0$  such that for  $m_0 | m$ , we have

$$h^0(m(K_{X_k} + \Delta_k)) = h^0(m(K_{X_K} + \Delta_K)).$$

*Proof.* Suppose first that  $(X_k, \Delta_k)$  is big. By the above, we achieve  $X^{min}$  such that  $K_X + \Delta$  is nef on both  $X_k^{min}$  and  $X_K^{min}$ . By Theorem 9 applied to the special fiber (and the semicontinuity theorem) there exists an  $m_0 \gg 0$  such that for  $m_0 < m$  and  $i > 0$ , we have

$$h^i(m(K_{X_K} + \Delta_K)) = h^i(m(K_{X_k} + \Delta_k)) = 0.$$

In fact, if  $\Delta = 0$ , then Ekedahl's vanishing Theorem [Ek] can be applied and  $m_0 = 2$ . Thus, applying the invariance of Euler characteristic [Liu, 5.3.22], and birational invariance of the plurigenera, it follows that for  $m > m_0$ ,

$$h^0(m(K_{X_k} + \Delta_k)) = h^0(m(K_{X_K} + \Delta_K)).$$

□

## 6. INVARIANCE OF PLURIGENERA GENERAL CASE

**Theorem 19.** *Let  $(X, \Delta)$  be a klt pair of relative dimension 2 over a DVR  $R$  with perfect residue field  $k$  of characteristic  $p > 0$  and perfect fraction field  $K$ . Assume that  $K_X + \Delta$  is big,  $\mathbb{Q}$ -Cartier, and simple normal crossings over  $R$ . Then, there exists an  $m_0$  such that for  $m \in m_0\mathbb{Z}^+$ ,*

$$h^0(m(K_{X_k} + \Delta_k)) = h^0(m(K_{X_K} + \Delta_K)).$$

*Proof.* Since the hypothesis and conclusion are preserved by base change, then after extending  $R$  if necessary, we may assume that  $k$  is algebraically closed and that  $R$  is complete. The proof follows similarly to [HMX2010, 1.6] except that Proposition 16 is used in place of Kawamata Viehweg Vanishing. Recall that the log-canonical ring of  $K_{X_k} + \Delta_k$  is finitely generated (c.f. [Tan, 7.1]) so  $N_\sigma(K_{X_k} + \Delta_k)$  is a  $\mathbb{Q}$ -divisor. Let

$$\Theta_k = \Delta_k - \Delta_k \wedge N_\sigma(K_{X_k} + \Delta_k)$$

and let  $0 \leq \Theta \leq \Delta$  be a  $\mathbb{Q}$ -divisor on  $X/R$  such that, by log-smoothness  $\Theta|_{X_k} = \Theta_k$ . Replacing  $(X, \Delta)$  by a blow-up, assume  $(X, \Delta)$  is terminal. Letting  $m \gg 0$  to fit the hypothesis of Proposition 16, and sufficiently

divisible such that  $m(K_{X_k} + \Theta_k)$  is integral, then by definition of  $N_\sigma$ ,

$$h^0(m(K_{X_k} + \Delta_k)) = h^0(m(K_{X_k} + \Theta_k)).$$

Furthermore, by Proposition 16,

$$h^0(m(K_{X_k} + \Theta_k)) = h^0(m(K_{X_K} + \Theta_K)).$$

As  $\Theta \leq \Delta$ , the theorem follows by semicontinuity.  $\square$

## 7. FINITE GENERATION (BIG CASE)

**Corollary 20.** *Situation as above, after possibly extending  $R$ , the canonical ring  $R(K_X + \Delta)$  is generated over  $R$  in degree  $m_0$ , where  $m_0$  is a computable constant. If  $K_X + \Delta$  is pseudo-effective (not necessarily big), a contraction of a  $K_{X_k} + \Delta_k$ -negative extremal ray cut out by an ample  $H$  over  $R$  induces a contraction of a  $K_X + \Delta$  negative extremal ray. If the contraction is a flipping contraction, then the flip exists.*

*Proof.* By [Tan], there is  $m \gg 0$ , such that

$$\bigoplus_{m \geq 0} H^0(ml(K_{X_k} + \Delta_k))$$

is generated in degree 1,<sup>3</sup> so that there is a surjection

$$S^l H^0(m(K_{X_k} + \Delta_k)) \twoheadrightarrow H^0(ml(K_{X_k} + \Delta_k)).$$

---

<sup>3</sup>(in fact once we have finite generation in general, it seems we can apply the proof of [CZ, 2.11] and Theorem 11 to get an effective such  $m$ , after repeating the proof of invariance of plurigenera and applying the Theorem 9)

Consider the following diagram:

$$\begin{array}{ccc}
 S^l H^0(m(K_X + \Delta)/R) & \xrightarrow{\alpha} & H^0(ml(K_X + \Delta)/R) \\
 \downarrow & & \downarrow \\
 S^l H^0(m(K_{X_k} + \Delta_k)) & \xrightarrow{\rightarrow} & H^0(ml(K_{X_k} + \Delta_k))
 \end{array}$$

The vertical maps are surjective by the first part of the theorem (and applying Nakayama's lemma and cohomology and base change to pull back the generators of the  $k$ -modules). Now applying the version of Nakayama's Lemma given in [GH, Chapter 5.3], surjectivity of the bottom map implies surjectivity of  $\alpha$ , and thus  $R(K_X + \Delta)$  is finitely generated over  $R$ .

Finally, suppose that there is a contraction of a curve on the closed fiber  $(X_k, \Delta_k)$  corresponding to a  $K_{X_k} + \Delta_k$ -negative extremal ray  $\Sigma_k$ . Let  $H_k = H|_{X_k}$  be such that  $(K_{X_k} + \Delta_k + H_k) \cdot \Sigma_k = 0$ . The goal is to show that

$$R(K_X + \Delta + H/R)$$

is finitely generated so that there exists a contraction defined by  $X_1 = \text{Proj } R(K_X + \Delta + H/R)$ . However, as  $H$  is ample, then under the assumption that  $K_{X_k} + \Delta_k$  is pseudo-effective,  $K_{X_k} + \Delta_k + H_k$  is actually big, and so this follows.  $\square$

**Lemma 21.** [CL, Lemma 5.1] *Let  $X$  and  $Y$  be  $\mathbb{Q}$ -factorial projective surfaces over a perfect field  $k$ , let  $f : X \rightarrow Y$  be a birational contraction, and let  $\tilde{f} : k(X) \approx k(Y)$  be the induced isomorphism. Then:*

$$(1) f_* \text{div}_X \varphi = \text{div}_Y \tilde{f}(\varphi)$$

(2) for every geometric valuation  $\Gamma$  on  $k(X)$  and for every  $\varphi \in k(X)$  we have  $\text{mult}_\Gamma(\text{div}_X \varphi) = \text{mult}_\Gamma(\text{div}_Y \tilde{f}(\varphi))$

(3) if  $f$  is an isomorphism in codimension one, then  $f_* : \text{Div}_\mathbb{R}(X) \rightarrow \text{Div}_\mathbb{R}(Y)$  is an isomorphism, and for every  $D \in \text{Div}_\mathbb{R}(X)$ , the map  $\tilde{f}$  restricts to the isomorphism  $H^0(X, D) \approx H^0(Y, f_* D)$ . (In this case,  $X, Y$  could be over a DVR  $R$  with perfect residue field).

*Proof.* Follows easily from the cited Theorem. □

**Definition 22.** Let  $(X, \sum S_i)$  a log smooth, log-canonical projective pair where  $X$  is a two dimensional, normal variety over a perfect field of characteristic  $p > 0$ . with  $S_i$  distinct prime divisors,  $V = \sum \mathbb{R}S_i \subset \text{Div}_\mathbb{R}(X)$ . Define the following sets, the first of which is clearly a polytope.

$$\mathcal{L}(V) = \left\{ \sum_{i=1}^n a_i S_i \in V \mid a_i \in [0, 1] \right\}$$

$$\mathcal{E}(V) = \{ \Delta \in \mathcal{L}(V) \mid K_X + \Delta \sim_{\mathbb{R}} D \geq 0 \}.$$

C.f. [CL2013, 2.13], (which holds by [SC, 3.4], since, by [Tan], abundance holds in this setting) then there are birational contractions  $f_i : X \rightarrow Y_i$  such that  $\mathcal{C}_{f_i}(V), \dots, \mathcal{C}_{f_k}(V)$  are rational polytopes and

$$\mathcal{E}(V) = \bigcup_{i=1}^k \mathcal{C}_{f_i}(V)$$

so that  $\mathcal{E}(V)$  is also a polytope.

**Proposition 23.** [CL2013, 2.18] *Let  $X$  be a two dimensional  $\mathbb{Q}$ -factorial normal variety over a perfect field of characteristic  $p > 0$ . Let  $\{(X, \Delta_i)\}$*

be a big, log smooth,  $\mathbb{Q}$ -Cartier KLT pair for each  $i$  and such that  $K_X + \sum \Delta_i$  has simple normal crossings support. Then the ring  $R(X, K_X + \Delta_i + A, \dots, K_X + \Delta_i + A)$  is finitely generated.

*Proof.* (Follows easily from the cited Theorem) Let  $\sum \text{supp}(\Delta_i) = \sum S_i$  for  $S_i$  distinct prime divisors,  $D_i = \ell(K_X + \Delta_i + A)$  and  $\mathcal{M} = \sum_{i=1}^p \mathbb{Z}D_i$ . Let  $M^{(N)} = \sum_{i=1}^p \mathbb{Z}ND_i$ . As in the cited theorem, there is a constant  $M'$  and generators of  $\mathcal{M} \cap \mathbb{R}_+(K_X + \mathcal{C}_i)$  for all  $i$  of the form  $M'(K_X + B)$  for some  $B \in \mathcal{L}(V)$ . Applying abundance ([Tan]), there is an  $M \in \mathbb{N}$  such that for all  $i, j$  where  $B_{ij}$  span each  $\mathcal{C}_i$ , such that  $M(f_i)_*(K_X + B_{ij})$  is basepoint free. Thus, c.f. [CZ, 2.11], we are reduced to proving the following: Let  $(X, B_i)$  a klt pair for each  $i$  and  $L_i = a_i(K_X + B_i)$  has basepoint free linear system for each  $i$ , (we can assume here that  $K_X + B_i$  is big). Let  $G = \sum_{i=1}^k b_i L_i$  for positive integers  $b_i$ , and assume that  $b_\ell > n + 1$ . Then the natural map

$$H^0(X, \mathcal{O}_X(G)) \otimes H^0(X, \mathcal{O}_X(L_\ell)) \rightarrow H^0(X, \mathcal{O}_X(G + L_\ell))$$

is surjective. The rest follows the proof of [CZ, 2.7], but substituting the Vanishing Theorem 9 when necessary for the usual Kawamata Viehweg Vanishing.  $\square$

**Corollary 24.** *Let  $\{(X, \Delta_i)\}_{i \in \{1, \dots, n\}}$  be a collection of klt pair of relative dimension 2 over a DVR  $R$  with perfect residue field  $k$  of characteristic  $p > 0$  and perfect fraction field  $K$ . Assume that  $K_X + \Delta_i$  is big,  $\mathbb{Q}$ -Cartier, and simple normal crossings over  $R$  for each  $i$ , and*

such that  $K_X + \sum \Delta_i$  has simple normal crossings support. Then the ring  $R(X, K_X + \Delta_1, \dots, K_X + \Delta_n)$  is finitely generated over  $R$ .<sup>4</sup>

*Proof.* C.f. the proof of [CZ, 2.11], it suffices to prove that if  $G = \sum_{i=1}^k m_i (a(K_X + \Delta_i + A_i))$ , with fixed  $a \in \mathbb{N}$ ,  $m_1, \dots, m_k \geq 0$ , and  $l \in \{1, \dots, l\}$  then there exists  $m_l$  such that the following maps are surjective

$$\begin{aligned} H^0(X, \mathcal{O}_X(G - a(K_X + \Delta_i))) \otimes H^0(X, \mathcal{O}_X(a(K_X + \Delta_i))) \\ \rightarrow H^0(X, \mathcal{O}_X(G)). \end{aligned}$$

By applying Nakayama's Lemma and Theorem 19 as in the proof of Theorem 20, it suffices to show the surjectivity on the central fiber which holds by proposition 23.  $\square$

## 8. FINITENESS OF MODELS FOR SURFACES

**Theorem 25.** *Let  $X$  be a two dimensional normal variety over a perfect field of characteristic  $p > 0$ . Let  $(X, \Delta)$  be a log smooth KLT pair and  $A$  an ample  $\mathbb{Q}$ -divisor on  $X$  with a good minimal model (which exists for non-negative Kodaira dimensions by [Tan]). Then there exists an  $\epsilon > 0$  such that the minimal models (and the output of the minimal model program with scaling) for  $(X, \Delta + tA)$  are all isomorphic for  $t \in [0, \epsilon]$ .*

*Proof.* (Essentially same as [Lai, 26,27] and simplifies due to the fact that a birational isomorphism in codimension 1 on a surface gives

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<sup>4</sup>As in the previous Theorem, we can find a computable such  $m$  using the proof of [CZ, 2.11,2.7] and the vanishing Theorem 9.

an isomorphism). Let  $\phi : (X, \Delta) \rightarrow (X_g, \Delta_g)$  be a good minimal model. Let  $f : X_g \rightarrow Z = \text{Proj } R(K_{X_g} + \Delta_g)$  the contraction (which exists by since  $K_{X_g} + \Delta_g$  is nef, hence semiample by [Tan]). Then  $\phi$  contracts the divisorial part of  $\mathbb{B}(K_X + \Delta)$ . Pick  $t_0 > 0$  such that  $(X_g, \Delta_g + t_0\phi_*A) = (X_g, \Delta_g + t_0A_g)$  is klt with  $A$  ample on  $X$  (note  $A_g$  is big, not in general nef). For  $H$  ample on  $X_g$ , let  $\psi : X_g \rightarrow X'$  be the minimal model program with scaling, which terminates (again by [Tan]), and gives a minimal model of  $(X_g, \Delta_g + t_0\Delta_g)$  over  $Z$ . For any curve contracted by  $f$ ,  $(K_{X_g} + \Delta_g) \cdot C = 0$ , hence  $K_{X'} + \psi_*\Delta_g = K_{X'} + \Delta' \equiv_Z 0$ . Thus curves contracted by  $\psi$  have trivial intersection with  $K_{X_g} + \Delta_g$ , and intersect negatively with  $A_g$ . Thus changing  $t$  does not affect which curves intersect negatively with  $K_{X_g} + \Delta_g + tA_g$ , and so  $X'$  is a minimal model of  $(X_g, \Delta_g + tA_g)$  for all  $t \in (0, t_0]$ .

Now  $\Delta' + t_0A'$  with  $A' = \psi_*A_g$  (which is big) implies by the Theorem 14, that there exist only finitely many  $K_{X'} + \Delta' + t_0A'$  negative extremal rays in  $\overline{NE}(X')$ . These are all necessarily just intersecting  $A'$  negatively, so decreasing  $t_0$ , eventually we get to a point where a further decrease in  $t_0$  doesn't change the number of negative extremal rays. Pick such a  $t_0$  (Now we are on  $X'$ , not over  $Z$ ). Again shrinking  $t_0$ , suppose that  $\psi \circ \phi$  is discrepancy negative w'r't  $(X, \Delta + tA)$  for all  $t$  in the half open interval  $(0, t_0]$ . Note that  $\mathbb{B}(K_X + \Delta + t_0A) \subset \mathbb{B}(K_X + \Delta)$ , so  $\psi$  contracts only the curves not contracted by  $\phi$ . Thus  $\psi \circ \phi$  is discrepancy negative on the closed interval  $[0, t_0]$ , so  $X'$  is a minimal model of  $(X, \Delta + tA)$  for all  $t \in [0, t_0]$ . Thus  $\mathbb{B}(K_X + \Delta + tA)$  has the same

divisorial components for all  $t \in [0, t_0]$ . Hence, any two minimal models for different  $t \in [0, t_0]$  are birational and isomorphic in codimension one, and as the total dimension is two, they are thus isomorphic.  $\square$

## 9. CONE, RATIONALITY, AND CONTRACTION / $\mathbb{R}$

**Theorem 26.** [CL, 3.5] *Let  $X$  be a normal scheme of relative dimension 2, proper over  $S$ , the spectrum of a DVR which has perfect residue field  $k$  of characteristic  $p > 0$  and perfect fraction field  $K$ . Let  $D_1, \dots, D_r$  be  $\mathbb{Q}$ -Cartier divisors on  $X$ . Assume that  $R(X; D_1, \dots, D_r)$  is finitely generated, and let  $D : \mathbb{R}^r \ni (\lambda_1, \dots, \lambda_r) \mapsto \sum \lambda_i D_i \in \text{Div}_{\mathbb{R}}(X)$  be the tautological map.*

(1) *The support of  $R$  is a rational polyhedral cone.*

(2) *If  $\text{Supp } R$  contains a big divisor and  $D \in \sum \mathbb{R}_+ D_i$  is pseudoeffective, then  $D \in \text{Supp } R$ .*

(3) *There is a finite rational polyhedral subdivision  $\text{Supp } R = \bigcup C_i$  such that  $\sigma_{\Gamma}$  is a linear function on  $C_i$  for every geometric valuation  $\Gamma$  of  $X$ . Furthermore, there is a coarsest subdivision with this property, in the sense that, if  $i$  and  $j$  are distinct, there is at least one geometric valuation  $\Gamma$  of  $X$  such that (the linear extensions of)  $\sigma_{\Gamma}|_{C_i}$  and  $\sigma_{\Gamma}|_{C_j}$  are different.*

(4) *There is a finite index subgroup  $\mathbb{L} \subset \mathbb{Z}^r$  such that for all  $n \in \mathbb{N}^r \cap \mathbb{L}$ , if  $D(n) \in \text{Supp } R$ , then  $\sigma_{\Gamma}(D(n)) = \text{mult}_{\Gamma}|D(n)|$ .*

*Proof.* (1, 2) follow easily from the cited theorem. For 3, 4 note that [ELM, 4.7] is stated for an arbitrary Noetherian scheme, and this theorem is the only result used in the proof of this result which is given in [CL, 3.5].  $\square$

**Theorem 27.** ([CL, 3.6]) *Let  $(X, \Delta)$  be a klt pair of relative dimension 2 over a DVR  $R$  with perfect residue field  $k$  of characteristic  $p > 0$  and perfect fraction field  $K$ . Assume that  $K_X + \Delta$  is  $\mathbb{Q}$ -Cartier, and simple normal crossings over  $R$ . If  $K_X + \Delta$  is pseudoeffective, then it is  $\mathbb{Q}$ -effective.*

*Proof.* As in the source paper, but using Theorem 24 for the finite generation.  $\square$

**Definition 28.** [CL, def 4.1] Let  $W$  a finite dimensional real vector space,  $C \subset W$  a closed convex cone spanning  $W$ , and  $v \in W$ . The visible boundary of  $C$  from  $v$  is

$$V = \{w \in \partial C \mid [v, w] \cap C = \{w\}\}.$$

**Corollary 29.** [CL, 4.2] (*Kawamata's Rationality, Cone and Contraction Theorem*) *Let  $(X, \Delta)$  be a klt pair of relative dimension 2, proper over a DVR  $R$  with perfect residue field  $k$  of characteristic  $p > 0$  and perfect fraction field  $K$ . Assume either that  $K_X + \Delta$  is  $\mathbb{Q}$ -Cartier and simple normal crossings over  $R$ , or is obtained after a finite number of steps of the minimal model program from such a  $\mathbb{Q}$ -divisor. Let  $V$  be the visible boundary of  $\text{Nef}(X/R)$  from  $v_0 \in N^1(X)_{\mathbb{R}}$  of the divisor*

$K_X + \Delta$ . Then (1) every compact subset  $F \subset \text{relint } V$  is contained in a union of finitely many supporting rational hyperplanes, and (2) every  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisor on  $X$  with class in  $\text{relint } V$  is semiample.

*Proof.* As in the cited theorem, noting it only requires the finite generation of Corollary 24, and Theorem 26. Part (2) is a consequence of Corollary 30. □

## 10. BIG ABUNDANCE / R

Let  $\Gamma$  a geometric valuation on  $X$ . Define

$$\sigma_\Gamma = \inf \{ \text{mult}_\Gamma D' \mid D \sim_{\mathbb{R}} D' \geq 0 \} \in \mathbb{R}.$$

As a result of Theorem 24, there is the following abundance theorem:

**Corollary 30.** [CL, 3.8] *Let  $(X, \Delta)$  be a klt log smooth pair of relative dimension 2 over a DVR  $R$  with perfect residue field  $k$  of characteristic  $p > 0$  and perfect fraction field  $K$ . Assume that  $\Delta$  is big. If  $K_X + \Delta$  is nef, then it is semi-ample.*

*Proof.* Let  $A$  an ample  $\mathbb{Q}$ -divisor on  $X$  such that  $(X, \Delta + A)$  is klt. As  $\Delta$  is big, it is possible to write  $\Delta = \Delta' + A$  such that  $(X, \Delta' + A)$  is klt. Thus the ring:

$$\mathcal{R} = R(K_X + \Delta, K_X + \Delta + A)$$

is finitely generated by Corollary 24, and  $\text{Supp } \mathcal{R}$  contains a big divisor  $(K_X + \Delta + A)$ . Thus, any pseudo-effective

$$D \in \mathbb{R}_+(K_X + \Delta) + \mathbb{R}_+(K_X + \Delta + A)$$

is in  $\text{Supp } \mathcal{R}$ . By Theorem 26(2),

$$\text{Supp } \mathcal{R} = \mathbb{R}_+(K_X + \Delta, K_X + \Delta + A).$$

For any  $\epsilon > 0$ , and any geometric valuation  $\Gamma$  on  $X$ ,

$$\sigma_\Gamma(K_X + \Delta + \epsilon A) = 0$$

where, so that  $\sigma_\Gamma$  is zero on  $\text{Supp } \mathcal{R}$ , and thus the centre of  $\Gamma$  is not in  $\mathbb{B}(K_X + \Delta)$  for any such  $\Gamma$ .  $\square$

**Corollary 31.** *Let  $X$  be a normal projective variety of dimension 2 (over a perfect field  $k$ ) and let  $D_1, \dots, D_r$  be  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisors on  $X$ . Assume that the ring  $R = R(X; D_1, \dots, D_r)$  is finitely generated, and let  $\text{Supp } R = \bigcup C_i$  be a finite rational polyhedral subdivision such that for every geometric valuation  $\Gamma$  of  $X$ ,  $\sigma_\Gamma$  is linear on  $C_i$ , as in Theorem 26. Denote  $\varphi : \sum \mathbb{R}_+ D_i \rightarrow N^1(X)_\mathbb{R}$  the projection, and assume there exists  $k$  such that  $C_k \cap \varphi^{-1}(\text{Amp } D) \neq \emptyset$ . Then  $C_k \subset \text{Supp } R \cap \varphi^{-1}(\text{Nef } X)$ .*

*Proof.* As in the source, but applying Theorems 26 and 30.  $\square$

## 11. CONTRACTIONS GIVEN BY EXTREMAL RAYS / R

**Lemma 32.** [CL, 6.2] *Let  $(X, \Delta)$  be a log smooth klt pair of relative dimension 2 over a DVR  $R$  with perfect residue field  $k$  of characteristic*

$p > 0$  and perfect fraction field  $K$ . Suppose  $(X, \Delta)$  is not nef, and  $A$  is a big  $\mathbb{Q}$ -divisor such that  $(X, \Delta + A)$  is klt, and  $K_X + \Delta + A$  is nef. Let  $\lambda$  be the nef threshold. Then  $\lambda \in \mathbb{Q}^+$ , and there is  $R \subset \overline{NE}(X)$  with  $(K_X + \Delta + \lambda A) \cdot R = 0$  and  $(K_X + \Delta) \cdot R < 0$ .

*Proof.* As in the cited theorem, the only result being needed is the finite generation of Corollary 24.  $\square$

## 12. BIG TERMINATION WITH SCALING

**Theorem 33.** *Let  $(X, \Delta)$  be a projective  $\mathbb{Q}$ -factorial klt pair of relative dimension 2 over a DVR  $R$  with perfect residue field  $k$  of characteristic  $p > 0$  and perfect fraction field  $K$ . Suppose  $\Delta$  is big and simple normal crossings over  $R$ . Let  $A$  be a sufficiently general ample  $\mathbb{Q}$ -divisor on  $X$  such that  $(X, \Delta + A)$  is klt and  $K_X + \Delta + A$  is nef. Then the  $K_X + \Delta$ -minimal model program with scaling of  $A$  terminates.*

Success is declared when there is a morphism  $f : (X, \Delta) \rightarrow (X_n, \Delta_n)$  to a pair over a DVR such that on both geometric fibers  $K_{X_n} + \Delta_n$  is nef. (Actually, applying [Liu, 5.3.24] and [Laz, 1.4.10], it suffices to show that the special fiber of the resulting model is nef).

*Proof.* This follows easily from [CL, 6.5], noting we have the required finite generation from Theorem 24. Let  $\{\alpha_i\}$  be a sequence of numbers corresponding to a minimal model program defined on both fibers ( $\alpha_i$  is the smallest positive real number such that  $K_{X_i} + \Delta_i + \alpha_i A_i$  is nef on both fibers - although it suffices to check on the special fiber by Lemma 2). The claim is that the set of indices  $i$  is finite.

Choose  $r$  big divisors  $H_j$  arbitrarily close to  $\Delta + \alpha$  for some norms on  $N_1(X)$ , such that  $K_X + \Delta + \sum H_j$  has simple normal crossings support, and such that the  $\text{span}\{K_X + H_j\}$  fills up all the dimensions of  $N_1(X)$  and contains  $K_X + \Delta + \alpha_1 A'$  on both fibers. Let  $C^1$  be the  $\mathbb{R}_+$ -cone spanned by the  $K_{X_1} + H_i$ . Let  $C^i$  denote the proper transform of  $C^1$ ,  $H_j^i$  the proper transforms of  $H_j$ , and let

$$\begin{aligned} R^i &= R(K_{X^i} + \Delta', K_{X^i} + H_1^i, \dots, K_{X^i} + H_r^i) \\ &\approx R^1 = R(K_X + \Delta, K_X + H_1, \dots, K_X + H_r) \end{aligned}$$

(The isomorphisms by Lemma 21 21(3)) which are all finitely generated by Theorem 24 under the identification to  $R^1$ .

By construction of the  $H^i$ , for each  $i$ ,  $G_i = K_{X_i} + \Delta'_i + \alpha_i A'_i \in \text{int } C^i$ , and since  $G_i$  is nef, actually there are some ample divisors in  $\text{int } C^i$ . By Lemma 26, and since  $\text{Supp } R^i = \bigcup C_\ell^i$ ,  $\text{Supp } R^i = \bigcup C_\ell^i$  clearly contain big divisors, applying Theorem 27 (so that either  $G_i$  is  $\mathbb{Q}$ -Cartier so we can apply Theorem 26(3) or  $G_i$  is vacuously in  $\text{Supp } R^i$ ) there are some ample divisors in  $C_\ell^i$  for some  $\ell$ . Thus using Theorem 31, the  $C_\ell^i$  consist of the pullbacks of nef divisors from  $X_i$ . Since there are only finitely many cones in the subdivision  $\text{Supp } R_1 = \bigcup C_\ell^1$ , eventually there will exist an index  $i_0$ , such that for  $i > i_0$ , the pullback of the nef cone of  $X_i$  lands in a  $C_\ell^1$  which is contained in the pullback of  $\text{Nef}(X_{i'})$  for some  $i' \leq i_0$ . After this point, applying the negativity lemma (c.f. [Xu, 2.1] for positive characteristic and  $\dim \leq 3$ ) as in [CL, 6.5] gives a morphism.  $\square$

## 13. REDUCTIONS

**Lemma 34.** [HMX2014, 2.8.3] *Let  $(X, \Delta)$  be a log smooth pair which is a scheme of dimension at most 3, with the coefficients of  $\Delta$  belonging to  $(0, 1]$ , and with  $X$  projective. If  $(X, \Delta)$  has a weak log canonical model, then there is a sequence  $\pi : Y \rightarrow X$  of smooth blow ups of the strata of  $\Delta$  such that if we write  $K_Y + \Gamma = \pi^*(K_X + \Delta) + E$ , where  $\Gamma \geq 0$  and  $E \geq 0$  have no common components,  $\pi_*\Gamma = \Delta$  and  $\pi_*E = 0$  and if we write*

$$\Gamma' = \Gamma - \Gamma \wedge N_\sigma(Y, K_Y + \Gamma),$$

*then  $\mathbb{B}_-(Y, K_Y + \Gamma')$  contains no strata of  $\Gamma'$ . If  $\Delta$  is a  $\mathbb{Q}$ -divisor, then  $\Gamma'$  is a  $\mathbb{Q}$ -divisor.*

*Proof.* Follows easily from the cited theorem. □

**Lemma 35.** [GL, 2.3] *Let  $X/R$  a projective scheme of relative dimension 2 over either a DVR  $R$  with algebraically closed residue field and perfect fraction field or over a field. Let  $(X, \Delta)$  be a klt pair and  $\varphi : W \rightarrow X$  a log resolution of  $(X, \Delta)$ . Choose  $\Delta_W$  so that  $K_W + \Delta_W = \varphi^*(K_X + \Delta) + E$  with  $\Delta_W$  and  $E$  effective  $\mathbb{Q}$ -Weil divisors with no common component. Let  $F = \sum_{F_i \text{ } \varphi\text{-exceptional prime divisor}} F_i$  and  $\Delta_W^\epsilon = \Delta_W + \epsilon F$ , then  $(W, \Delta_W^\epsilon)$  is an  $\epsilon$ -log smooth model of  $(X, \Delta)$ . Then for  $0 < \epsilon \ll 1$ , a discrepancy negative (good) minimal model  $\phi : (W, \Delta_W^\epsilon) \rightarrow (W_{min}, \Delta_{W_{min}}^\epsilon)$  with either  $\phi$  a morphism or  $(X, \Delta)$  log-smooth is also a (good) minimal model for  $(X, \Delta)$ .*

*Proof.* Follows easily from [BCHM, 3.6.10, 3.6.11]. □

**Lemma 36.** [HMX2014, 5.3] *Let  $X/R$  a projective scheme of relative dimension 2 over a DVR  $R$ , with algebraically closed residue field and perfect fraction field. Let  $(X, \Delta)$  be a log smooth dlt pair with  $X$   $\mathbb{Q}$ -factorial and projective and  $\Delta$  a  $\mathbb{Q}$ -divisor. If  $\Phi$  is a  $\mathbb{Q}$ -divisor such that*

$$0 \leq \Delta - \Phi \leq N_\sigma(X, K_X + \Delta),$$

*then steps of the  $K_X + \Phi$ -minimal model program are steps of the  $K_X + \Delta$  minimal model program, and so termination for  $(X, \Phi)$  implies termination for  $(X, \Delta)$ .*

*Proof.* (Similar proof to the cited Theorem). Let  $f : X \rightarrow Y$  the result of running a  $(K_X + \Phi)$  minimal model program and let  $Y \rightarrow Z$  be the ample model of  $K_X + \Phi$ . Let  $\Delta_t = t\Delta + (1-t)\Phi$  so that if  $0 < t \ll 0$ , then  $f$  is also a  $(K_X + \Delta_t)$ -MMP. If  $C$  generates a  $K_X + \Delta_t$ -negative extremal ray, suppose that  $(K_X + \Phi) \cdot C > 0$ . Applying Theorem 14 on the special fiber,

$$-4 \leq (K_X + \Delta) \cdot C < 0.$$

Then, following the inequalities in [HMX2014, 5.1], gives a contradiction if  $0 < t < \frac{1}{5}$ . Thus every step of the  $K_X + \Delta_t$  with scaling of an ample divisor is  $(K_X + \Phi)$ -trivial, so after finitely many steps there is a model  $g : X \rightarrow W$  contracting the components of  $N_\sigma(X, K_X + \Delta_t)$  (this holds with no changes from [HMX2014, 2.7.1]). Thus, as  $\text{supp}(\Delta - \Phi) \subset \text{supp} N_\sigma(X, K_X + \Delta) = \text{supp} N_\sigma(X, K_X + \Delta_t)$ , then  $g_*(K_Y + \Phi)$  is semiample whenever  $g_*(K_X + \Delta)$  is, and [HMX2014, 2.7.2] (which holds using only the log smooth resolution) implies that

$g$  is a minimal model of  $(X, \Delta)$ , which is good when  $(X, \Phi)$  has a good minimal model.

□

#### 14. EXISTENCE OF MINIMAL MODELS SPECIAL CASE

**Lemma 37.** [HMX2010, 3.1] *Let  $(X, \Delta)$  be a  $\mathbb{Q}$ -factorial klt pair of relative dimension 2 over a DVR  $R$  with perfect residue field  $k$  of characteristic  $p > 0$  and perfect fraction field  $K$ . Assume that  $\mathbb{B}_-(X_k, K_{X_k} + \Delta_k)$  contains no non-canonical centres of  $(X_k, \Delta_k)$ . Let  $f : X \rightarrow Y$  be a step of the  $(K_X + \Delta)$ -MMP. If  $f$  is birational and  $V$  is a non-canonical centre of  $(X, \Delta)$ , then  $V$  is not contained in the indeterminacy locus of  $f$ ,  $V_0$  is not contained in the indeterminacy locus of  $f_0$ , and the induced maps  $\phi : V \rightarrow W$  and  $\phi_k : V_k \rightarrow W_k$  are birational, where  $W = f(V)$ . Let  $\Gamma = f_*\Delta$ . Then  $\mathbb{B}_-(Y_0, K_{Y_0} + \Gamma_0)$  contains no non-canonical centres of  $(Y_0, \Gamma_0)$  (so we may repeat the process), and if  $V$  is a non-klt centre or  $V = X$ , then  $\phi : V \rightarrow W$  and  $\phi_0 : V_0 \rightarrow W_0$  are birational contractions. If  $f$  is a Mori fibre space, then  $f_0$  is not birational.*

*Proof.* (Follows easily from the cited Theorem). Suppose  $f$  is birational. Let  $V$  be a non-canonical centre of  $(X, \Delta)$ . Let  $g : X \rightarrow Z$  be the contraction of the extremal ray associated to  $f$  (so that  $f = g$  unless  $f$  is a flip). Let  $Q = g(V)$ , and let  $\psi : V \rightarrow Q$ , be the induced morphism. As every component of  $V_k$  is a non-canonical centre of  $(X_k, \Delta_k)$ , then by hypothesis, components of  $V_k$  are not contained in  $\mathbb{B}_-(X_k, K_{X_k} + \Delta_k)$ , thus  $\psi_k$  is defined at each such component, hence

is birational. By upper-semicontinuity of the fibers of  $\psi$ ,  $\psi$  is birational, and thus  $\phi : V \rightarrow Q \rightarrow W$ , and  $\phi_k$  are both birational.

Now suppose  $V$  is a non-klt centre or  $V = X$ , the above holds in the first case, as non-klt centres are non-canonical. Comparing the discrepancies of the differentials of adjunction for  $\Delta_k$  and  $\Gamma_k$  as in the cited proof shows that  $\phi_k, f_k$ , and thus  $\phi$  are birational contractions. On the other hand, if  $f$  is a Mori fibre space, then, as the dimension of the fibers of  $f : X \rightarrow Y$  are upper-semicontinuous,  $f_k$  is not birational.  $\square$

**Theorem 38.** [HMX2014, 3.2] *Let  $(X, \Delta)$  be a  $\mathbb{Q}$ -factorial klt pair of relative dimension 2 over a DVR  $R$  with perfect residue field  $k$  of characteristic  $p > 0$  and perfect fraction field  $K$ . Assume that  $\mathbb{B}_-(X_k, K_{X_k} + \Delta_k)$  contains no non-canonical centres of  $(X_k, \Delta_k)$  and is log smooth. Let  $f : X \rightarrow Y$  be a step of the  $(K_X + \Delta)$ -MMP. Then the minimal model program with scaling terminates using only contractions.*

*Proof.* (Similar proof to the cited theorem). Let  $f : X \rightarrow Y$  the  $(K_X + \Delta)$ -MMP with scaling of an ample divisor  $A$ . Let  $\Gamma = f_*\Delta$  and  $B = f_*A$ . By construction,  $K_Y + tB + \Gamma$  is nef for some  $t > 0$ . By Lemma 37,  $f : X \rightarrow Y$  is a birational contraction and  $f_k : X_k \rightarrow Y_k$  is a birational contraction from  $(X_k, tA_k + \Delta_k)$ . If  $K_X + \Delta$  is not pseudo-effective, then for  $t > 0$ , the result of  $f$  is a Mori fiber space, and by Lemma 37,  $Y_k$  is covered by  $K_{Y_k} + tB_k + \Gamma_k$ -negative curves, contradicting bigness of  $K_{X_k} + tA_k + \Delta_k$ . Thus  $K_X + \Delta$  is pseudo-effective, and given any  $\epsilon > 0$ , we may run the MMP until  $t < \epsilon$ . Now we conclude

by Theorem 25. Letting  $\epsilon$  be the constant given in that theorem, the minimal models for  $(X_k, tA_k + \Delta_k)$  are all isomorphic  $t \in [0, \epsilon]$ . Thus, once  $t < \epsilon$ , any more steps in the minimal model program with scaling must be an isomorphism on the special fiber, and thus an isomorphism. Thus there exists a minimal model  $(Y, \Gamma)$  for  $(X, \Delta)$ .  $\square$

### 15. EXISTENCE OF MINIMAL MODELS GENERAL CASE

**Theorem 39.** *Let  $(X, \Delta)$  be a klt pair of relative dimension 2, proper over a DVR  $R$  with perfect residue field  $k$  of characteristic  $p > 0$  and perfect fraction field  $K$ . Assume that  $K_X + \Delta$  is  $\mathbb{Q}$ -Cartier, and log smooth over  $R$ . Then the minimal model of  $(X, \Delta)$  exists.*

*Proof.* I start by repeating the reduction of [HMX2014, 6.1]. If necessary, extend  $R$  to be complete with algebraically closed residue field  $k$ , so the strata of  $\Delta$  have irreducible fibers over  $R$ . Let  $f_0 : Y_0 \rightarrow X_0$  be the birational morphism of Lemma 34. Under the log smooth hypothesis, and since the strata of  $\Delta$  have irreducible fibers, and  $f_0$  blows up strata of  $\Delta_0$ , extend  $f_0$  to a birational morphism  $f : Y \rightarrow X/R$  which is a composition of smooth blow ups of strata of  $\Delta$ . Write

$$K_Y + \Gamma = f^*(K_X + \Delta) + E$$

with  $\Gamma \geq 0$  and  $E \geq 0$ ,  $f_*\Gamma = \Delta$ , and  $f_*E = 0$ . Then  $(Y, \Gamma)$  is log smooth and the fibres of components of  $\Gamma$  are irreducible. By Lemma 35,  $(X, \Delta)$  has a minimal model if  $(Y, \Gamma_\epsilon)$  has a good minimal model, where  $(Y, \Gamma_\epsilon) = (Y, \Gamma + \epsilon F)$  is the  $\epsilon$ -log smooth model of  $(X, \Delta)$  with  $F$  the sum of  $f$ -exceptional divisors and  $0 < \epsilon \ll 1$ .

Replace  $(X, \Delta)$  by  $(Y, \Gamma_\epsilon)$  and set  $\Theta_k = \Delta_k - \Delta_k \wedge N_\sigma(X_k, K_{X_k} + \Delta_k)$  so that  $\mathbb{B}_-(X_k, K_{X_k} + \Theta_k)$  contains no strata of  $\Theta_k$ . Let  $0 \leq \Theta \leq \Delta$  be the unique divisor such that  $\Theta_k = \Theta|_{X_k}$ . Let  $H$  relatively ample such that  $(X, \Theta + H)$  is log smooth over  $R$ , and  $K_X + \Theta + H$  is big. There is a commutative diagram:

$$\begin{array}{ccc} \pi_* \mathcal{O}_X(m(K_X + \Theta) + H) & \longrightarrow & \pi_* \mathcal{O}_X(m(K_X + \Delta) + H) \\ \downarrow & & \downarrow \\ H^0(X_k, \mathcal{O}_{X_k}(m(K_{X_k} + \Theta_k) + H_k)) & \longrightarrow & H^0(X_k, \mathcal{O}_{X_k}(m(K_{X_k} + \Delta_k) + H_k)) \end{array}$$

with surjective columns by Theorem 19 and [Liu, 5.3.20(b)], and with the bottom row an isomorphism. Applying Nakayama's lemma gives an isomorphism on the top row, so that  $\Theta \geq \Delta - \Delta \wedge N_\sigma(X, K_X + \Delta)$ . Again applying Theorem 19, gives  $\Theta = \Delta - \Delta \wedge N_\sigma(X, K_X + \Delta)$ . Thus  $\Delta - \Theta \leq N_\sigma(X, K_X + \Delta)$ , so by Lemma 36, it suffices to find a minimal model for  $(X, \Theta)$ . Replacing  $(X, \Delta)$  by  $(X, \Theta)$ , it suffices to assume that  $\mathbb{B}_-(X_k, K_{X_k} + \Delta_k)$  contains no strata of  $\Delta_0$ . Letting  $A$  be an ample divisor, we run the minimal model program with scaling of  $A$ . Now the assumptions of Theorem 38 apply, so we know that  $(X, \Theta)$  has a minimal model.  $\square$

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